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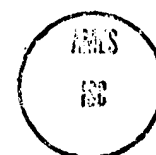
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The Director of Central Intelligence

Washington, D.C. 20505

Intelligence Community Staff

DCI/ICS 0935-87  
11 September 1987



STAT

[Redacted]  
Naval Intelligence Command  
ATTN: NIC-04  
4600 Silver Hill Rd.  
Washington, DC 20389

Dear [Redacted]

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You have been nominated, through your Intelligence Community principal, to attend the Director of Central Intelligence's (DCI's) seminar for SCI Adjudicators during the week of 28 September-2 October. The seminar is sponsored by the Community Counterintelligence and Security Countermeasures Office (CCISCMO) [Redacted]

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[Redacted] We are pleased that you have been selected to participate in this seminar and feel that we have arranged a challenging week for you. The program has been designed to familiarize you with the commonly applied guidelines of DCID 1/14 and to generate a maximum exchange of information covering your use of the DCI's guidelines. It begins at 0830 hours on 28 September and concludes at approximately 1300 hours on 2 October.

Each attendee is required to bring one sanitized example of a case adjudicated under DCID 1/14 standards (collateral acceptable) by his or her agency or Department. These should be fully adjudicated cases, and the subjects should not be identified. Case assignment categories for participants from the various organizations are attached, e.g., Navy attendees will present cases on undesirable character traits and NSA attendees will present cases on loyalty and association with foreign nationals. You will be expected to brief the group in the case presentation format attached concerning your case and discussion will ensue. Cases that contain relevant facts which might elicit debate during the discussion period are most useful. Our experience dictates that thorough preparation for your case presentation is absolutely necessary and it is suggested that you prepare a "talking paper" to briefly summarize all relevant data. Reading directly from a detailed investigative report is discouraged. Also it is important that you be familiar with Annex A to DCID 1/14. Your Intelligence Community sponsor can furnish further details on case presentations if needed.

Travel directions, some administrative details, and a bibliographic list are also attached. There is no advance required reading for the Seminar, although some of the listed books are mentioned during the counterintelligence discussions. Other materials will be provided at registration. Housing accommodations as well as all meals will be provided, and casual dress is encouraged. Unless we are informed otherwise, you are expected to arrive at the training facility on Sunday night. Meals are not served that evening so other arrangements should be made.

If you are arriving on Monday morning, we ask that you arrive at least an hour early in order to obtain your billeting and be checked into the Base. Breakfast will be served from 0700-0815. All attendees are strongly urged to avail themselves of the overnight billeting arrangements throughout the week as activities are scheduled in the evening. Also, these arrangements facilitate the exchange of information which is a primary goal of the seminar. Should you have any questions concerning arrangements for the seminar, please contact the coordinator,  the CCISCM Office.

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Sincerely,

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Enclosures

PRESENTATION OF CASES  
(REFER TO DCID 1/14 ANNEX A)

<u>Category</u>	<u>Organization</u>
Undesirable Character Traits	Navy
Loyalty and Association with Foreign Nationals	NSA
Financial Irresponsibility	USAF State
Alcohol and Drug Abuse	CIA FEMA
Mental and Emotional Disorders Sexual Misconduct	DoE OSD (DCA) (DISCR) (DMA) (DLA)
Law Violations	Army FBI
Security Violations	OSAF Treasury

## CASE PRESENTATIONS

A. Please present all relevant data needed to make the adjudication in accordance with the following outline. Do not include unnecessary information and avoid reading detailed investigative reports to the class.

### 1. TYPE OF CASE

- a. Applicant, employee, contractor, military assignee, etc.
- b. General Background information.

### 2. RELEVANT FACTS

- a. Seek cases that are likely to generate debate.
- b. Avoid so-called "clear cut" cases.

### 3. PRESENTER'S CONCLUSIONS AND RECOMMENDATIONS

- a. Cite DCID 1/14 provisions.
- b. State your rationale and identify the nexus between your position and the cited provisions of DCID 1/14.

B. The staff will lead a discussion of the case. Success of the exercise depends largely on the debate that the case generates.

C. The presenter provides the "school solution," i.e., the presenter's agency's decision.

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## ADJUDICATORS' CONFERENCE

### SELECTED BIBLIOGRAPHY

For those course attendees who may wish to read further in the field of intelligence or counterintelligence either to supplement the counterintelligence presentation or for their own edification. Credit is given to the Defense Intelligence Schools' Bibliography of Intelligence Literature (Seventh Edition-Revised, dtd August 1981) from which many of the descriptions are excerpted.

BARRON L. John, KGB: The Secret Work of Soviet Agents. New York: Reader's Digest Press. 1974. Introduction by Robert Conquest. Bibl. notes. Bibl. 462 p. (pap. N.Y.: Bantem Books, 1974)

"An excellent, authoritative and well written account of many major cases in which the KGB has been involved around the world. Also included are some valuable details of the organization of the KGB. This is the best current book on the subject, although the section on the GRU is somewhat weak."

BERNIKOW, Louise. Abel. New York: Trident Press, 1970. Introduction by Burt Silverman. 347 p. (Pap. N.Y.: Pocket Books, 1971)

"The story of the career and enigmatic personality of Colonel Rudolf Abel. Through interviews with persons who knew Abel during his 8 years in New York, particularly his neighbor and friend, Burt Silverman, the author conveys an interesting insight into Abel's personality and Soviet clandestine modus operandi. (q.v. Donovan, Strangers on a Bridge).

BOYLE, Andrew. The Fourth Man: The Definitive Account of Kim Philby, Guy Burgess and Donald Maclean and Who Recruited Them to Spy for Russia. New York: Dial Press/James Wade, 1979. Bibl. notes. 504 p. (pap. N.Y.: Bantam 1980).

"This book, (originally published in England under the title of The Climate Treason), created a sensation in the press, perhaps beyond its intrinsic merits as a book. It deals basically with the cases of the British Foreign Office and intelligence officers, Philby, Maclean, and Burgess, as Soviet spies, while indicating that there were "fourth" and "fifth" men, and perhaps more, in this net. The book led to the exposure of Anthony Blunt, formerly a war-time member of the British Security Service, a distinguished art historian and Surveyor of the Queen's Pictures. Before the war, while at Cambridge University, he was known for Marxist views and acted as a Soviet intelligence talent spotter. Blunt confessed his role to the British security authorities in 1964. In exchange for his information, Blunt was granted immunity

from prosecution. As a result of this book's publication, the Prime Minister made a statement in the House of Commons exposing Blunt's case, followed by extensive revelations and debate in the press."

FROLIK, Josef. The Frolik Defection. London: Leo Cooper, 1975. 184 p.

"These memories of a Czech intelligence officer who defected in 1969 at the rank of Major, are an important guide to the day-to-day operations of Bloc intelligence agents. Frolik details poisoning and bombing attempts against Radio Free Europe, entrapment of visitors to his country, recruitment of members of the British Parliament, and development of certain British labor leaders. Unsettling to American readers is Frolik's comment that when he first considered defection and reviewed his service's files on U.S. intelligence officers, looking for one to contact, he ended up compiling a list of those officers to whom he would not defect based on their weaknesses and vulnerabilities."

LINDSEY, Robert. The Falcon and the Snowman, New York, Simon & Schuster, 1979. (pap. Pocket Books, NY, 1980)

"A relatively straightforward account of the Christopher Boyce (The Falcon) and Andrew Daulton Lee (The Snowman) espionage case, the true account of two young men who furnished extensive sensitive information to the KGB in order to live life in the fast lane in California. Although the author, to some degree, seems to have fallen in love with his subjects, he seems relatively dispassionate about the case. The book ends as Boyce escapes from jail. After his re-capture, it appeared that he had also been engaging in bank robbery to finance himself during his period of freedom.

HURT, Hugh. SHADRIN, The Spy Who Never Came Back: New York Readers Digest Press, 1981, 301 pps.

"The reader may find this account of a failed operation useful as a training manual in that it is the story of a rapidly escalating bungled operation."

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